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Exposed 2-Homogeneous Polynomials on the two-Dimensional Real Predual of Lorentz Sequence Space

Sung Guen Kim

Abstract. We classify the exposed polynomials of the unit ball of the space of 2-homogeneous polynomials on the two-dimensional real predual of Lorentz sequence space. In fact, we prove that

$$\exp B_{\mathcal{P}(2d_*(1,w)^2)} = \text{ext} B_{\mathcal{P}(2d_*(1,w)^2)} \setminus \left\{ \pm \left[\frac{x^2 - y^2 \pm 2wxy}{1 + w^2} \right], \right. \\ \left. \pm \left[\frac{1 - w}{(1 + w)(1 + w^2)} (x^2 - y^2) \pm \frac{2}{(1 + w)^2} xy \right] \right\}.$$

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1. Introduction

We write B_E for the closed unit ball of a real Banach space E and the dual space of E is denoted by E^* . $x \in B_E$ is called an *extreme point* of B_E if $y, z \in B_E$ with $x = \frac{1}{2}(y + z)$ implies $x = y = z$. $x \in B_E$ is called an *exposed point* of B_E if there is an $f \in E^*$ so that $f(x) = 1 = \|f\|$ and $f(y) < 1$ for every $y \in B_E \setminus \{x\}$. It is easy to see that every exposed point of B_E is an extreme point. We denote by $\text{ext} B_E$ and $\text{exp} B_E$ the sets of exposed and extreme points of B_E , respectively. A mapping $P: E \rightarrow \mathbb{R}$ is a continuous 2-homogeneous polynomial if there exists a continuous symmetric bilinear form L on the product $E \times E$ such that $P(x) = L(x, x)$ for every $x \in E$. We denote by $\mathcal{L}_s(^2E)$ the Banach space of all continuous symmetric bilinear forms on E endowed with the norm $\|L\| = \sup_{\|x\|=\|y\|=1} |L(x, y)|$. $\mathcal{P}(^2E)$ denotes the Banach space of all continuous 2-homogeneous polynomials from E into \mathbb{R} endowed with the norm $\|P\| = \sup_{\|x\|=1} |P(x)|$. For more details about

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the theory of polynomials on a Banach space, we refer to [7]. In 2003, Kim and Lee [23] studied exposed 2-homogeneous polynomials on Hilbert spaces. Later, Choi and Kim [6] characterized the exposed points of the unit ball of $\mathcal{P}(^2\ell_p^2)$ ($p = 1, 2, \infty$) and in 2007, Kim [15] characterized the exposed points of the unit ball of $\mathcal{P}(^2\ell_p^2)$ ($1 < p < \infty, p \neq 2$). We refer to ([1–6, 8–31] and references therein) for some recent work about extremal properties of multilinear mappings and homogeneous polynomials on some classical Banach spaces. Let $0 < w < 1$ be fixed. We denote the two-dimensional real predual of Lorentz sequence space by

$$d_*(1, w)^2 := \left\{ (x, y) \in \mathbb{R}^2 : \|(x, y)\|_{d_*} := \max \left\{ |x|, |y|, \frac{|x| + |y|}{1 + w} \right\} \right\}.$$

In fact, the two-dimensional real predual of Lorentz sequence space $d_*(1, w)^2$ is the plane \mathbb{R}^2 with the octagonal norm of weight w . We will denote by $P(x, y) = ax^2 + by^2 + cxy$ a 2-homogeneous polynomial on $d_*(1, w)^2$. In 2011, Kim [17] computed the norm of $P \in \mathcal{P}(^2d_*(1, w)^2)$ in terms of its real coefficients and determined all the extreme polynomials of the unit ball of $\mathcal{P}(^2d_*(1, w)^2)$. Recently, Kim [19] classified all the smooth polynomials of the unit ball of $\mathcal{P}(^2d_*(1, w)^2)$. In this paper, using results of the previous works [17, 19, 22], we classify the exposed polynomials of the unit ball of the space $\mathcal{P}(^2d_*(1, w)^2)$. Indeed, we will show that

$$\begin{aligned} \exp B_{\mathcal{P}(^2d_*(1, w)^2)} &= \text{ext} B_{\mathcal{P}(^2d_*(1, w)^2)} \setminus \left\{ \pm \left[\frac{x^2 - y^2 \pm 2wxy}{1 + w^2} \right], \right. \\ &\quad \left. \pm \left[\frac{1 - w}{(1 + w)(1 + w^2)}(x^2 - y^2) \pm \frac{2}{(1 + w)^2}xy \right] \right\}. \end{aligned}$$

2. The Results

Let $P \in \mathcal{P}(^2d_*(1, w)^2)$ with $P(x, y) = ax^2 + by^2 + cxy$ for $(x, y) \in d_*(1, w)^2$. Note that if $\|P\| = 1$, then $|a| \leq 1$, $|b| \leq 1$ and $|c| \leq \frac{4}{(1+w)^2}$. Indeed, $\|P\| \geq |P(\pm(\frac{1+w}{2}), \frac{1+w}{2})| = \frac{(1+w)^2}{4}|a + b \pm c| = \frac{(1+w)^2}{4}(|a + b| + |c|) \geq \frac{(1+w)^2}{4}|c|$. Since

$$\|ax^2 + by^2 + cxy\| = \|bx^2 + ay^2 \pm cxy\| = \|-bx^2 - ay^2 \pm cxy\|,$$

we may assume that $a \geq |b| \geq 0$, $c \geq 0$.

Theorem 1 [17, Theorem 1]. *Let $P \in \mathcal{P}(^2d_*(1, w)^2)$ with $P(x, y) = ax^2 + by^2 + cxy$ for $(x, y) \in d_*(1, w)^2$ with $a \geq |b| \geq 0$, $c \geq 0$. Then,*

Case 1: $0 \leq c < 2|b|$

Subcase 1: $b < 0$

(a) *If $\frac{c}{2|b|} \leq w$, then*

$$\|P\| = a + \frac{c^2}{4|b|}.$$

(b) If $\frac{c}{2|b|} > w$, then

$$\|P\| = bw^2 + cw + a.$$

Subcase 2: If $b > 0$, then

$$\|P\| = bw^2 + cw + a.$$

Case 2: If $2|b| \leq c \leq 2a$, then

$$\|P\| = bw^2 + cw + a.$$

Case 3: $2a < c$

(a) If $\frac{c-2a}{c-2b} < w$, then

$$\|P\| = bw^2 + cw + a.$$

(b) If $\frac{c-2a}{c-2b} \geq w$, then

$$\|P\| = \frac{(c^2 - 4ab)(1 + w)^2}{4(c - a - b)}.$$

Theorem 2 [17, Theorem 2].

$$\begin{aligned} \text{ext}B_{\mathcal{P}(2d_*(1,w)^2)} = & \left\{ \pm x^2, \pm y^2, \pm \frac{1}{1+w^2}(x^2 + y^2), \pm \frac{1}{(1+w)^2}(x \pm y)^2 \right. \\ & \pm \left[t(x^2 - y^2) \pm 2\sqrt{t(1-t)}xy \right] \left(\frac{1}{1+w^2} \leq t \leq 1 \right), \\ & \pm \left[t(x^2 - y^2) \pm \frac{2 + 2\sqrt{1-t^2}(1+w)^4}{(1+w)^2}xy \right] \\ & \left. \left(0 \leq t \leq \frac{1-w}{(1+w)(1+w^2)} \right) \right\}. \end{aligned}$$

Theorem 3 [19, Theorem 3]. Let $f \in \mathcal{P}(2d_*(1,w)^2)^*$ and $\alpha = f(x^2), \beta = f(y^2), \gamma = f(xy)$. Then,

$$\begin{aligned} \|f\| = \max & \left\{ |\alpha|, |\beta|, \frac{1}{1+w^2}|\alpha + \beta|, \frac{1}{(1+w)^2}(|\alpha + \beta| + 2|\gamma|), \right. \\ & t|\alpha - \beta| + 2\sqrt{t(1-t)}|\gamma| \left(\frac{1}{1+w^2} \leq t \leq 1 \right), \\ & \left. t|\alpha - \beta| + \frac{2 + 2\sqrt{1-t^2}(1+w)^4}{(1+w)^2}|\gamma| \left(0 \leq t \leq \frac{1-w}{(1+w)(1+w^2)} \right) \right\}. \end{aligned}$$

Observe that if $0 < w < 1$ and $w^* = \frac{1-w}{1+w}$, then $0 < w^* < 1$ and $(w^*)^* = w$.

Lemma 4 [22, Lemma 2.4]. Let $w^* = \frac{1-w}{1+w}$. Then, there is an isometry $\phi: d_*(1, w) \rightarrow d_*(1, w^*)$ such that

$$\phi(x, y) := \left(\frac{x+y}{1+w}, \frac{x-y}{1+w} \right).$$

Proof. By definition, the norms of $(x, y) \in d_*(1, w)$ and $(X, Y) \in d_*(1, w^*)$ are given by

$$\begin{aligned}\|(x, y)\|_{d_*(1, w)} &= \max \left\{ |x|, |y|, \frac{|x| + |y|}{1 + w} \right\}, \\ \|(X, Y)\|_{d_*(1, w^*)} &= \max \left\{ |X|, |Y|, \frac{|X| + |Y|}{1 + w^*} \right\}.\end{aligned}$$

Now, let $(X, Y) = \phi(x, y) = \left(\frac{x+y}{1+w}, \frac{x-y}{1+w} \right)$. Then,

$$\begin{aligned}\|(X, Y)\|_{d_*(1, w^*)} &= \max \left\{ \left| \frac{x+y}{1+w} \right|, \left| \frac{x-y}{1+w} \right|, \left(\frac{\frac{|x+y|}{1+w} + \frac{|x-y|}{1+w}}{1+w^*} \right) \right\} \\ &= \max \left\{ \frac{|x|+|y|}{1+w}, \frac{|x+y|+|x-y|}{2} \right\} \\ &= \max \left\{ \frac{|x|+|y|}{1+w}, \max\{|x|, |y|\} \right\} \\ &= \|(x, y)\|_{d_*(1, w)}.\end{aligned}$$

□

Lemma 5. Let $0 < w < 1, w^* = \frac{1-w}{1+w}$. (a) Define $\Phi: \mathcal{P}(^2d_*(1, w)^2) \rightarrow \mathcal{P}(^2d_*(1, w^*)^2)$ by $\Phi(P) = P \circ \phi^{-1}$, where ϕ is the isometry in Lemma 4. Then, Φ is an isometric isomorphism. Therefore, $P \in \text{ext}B_{\mathcal{P}(^2d_*(1, w)^2)}$ if and only if $\Phi(P) \in \text{ext}B_{\mathcal{P}(^2d_*(1, w^*)^2)}$.

(b) Define $\Psi: \mathcal{P}(^2d_*(1, w)^2)^* \rightarrow \mathcal{P}(^2d_*(1, w^*)^2)^*$ by $\Psi(f)(\Phi(P)) = f(P)$ ($f \in \mathcal{P}(^2d_*(1, w)^2)^*, P \in \mathcal{P}(^2d_*(1, w)^2)$). Then, Ψ is an isometric isomorphism. Therefore, $P \in \text{exp}B_{\mathcal{P}(^2d_*(1, w)^2)}$ if and only if $\Phi(P) \in \text{exp}B_{\mathcal{P}(^2d_*(1, w^*)^2)}$.

If $f \in \mathcal{P}(^2d_*(1, w)^2)^*$, then $\Psi(f)(X^2) = (\frac{1+w^*}{2})^2(f(x^2) + f(y^2) + 2f(xy))$, $\Psi(f)(Y^2) = (\frac{1+w^*}{2})^2(f(x^2) + f(y^2) - 2f(xy))$, and $\Psi(f)(XY) = (\frac{1+w^*}{2})^2(f(x^2) - f(y^2))$. Note that if $P_t(x, y) = t(x^2 - y^2) \pm 2\sqrt{t(1-t)}xy$ ($\frac{1}{1+w^2} \leq t \leq 1$), then $\Phi(P_t)(X, Y) = \pm \frac{2\sqrt{t(1-t)}}{(1+w^*)^2}(X^2 - Y^2) \pm \frac{4t}{(1+w^*)^2}XY$. From now on, the variable x and y will be used in the definition of polynomials in $\mathcal{P}(^2d_*(1, w)^2)$, whereas we use X and Y in the definition of polynomials in $\mathcal{P}(^2d_*(1, w^*)^2)$.

Theorem 6.

$$\begin{aligned}\text{exp}B_{\mathcal{P}(^2d_*(1, w)^2)} &= \text{ext}B_{\mathcal{P}(^2d_*(1, w)^2)} \setminus \left\{ \pm \left[\frac{x^2 - y^2 \pm 2wxy}{1 + w^2} \right], \right. \\ &\quad \left. \pm \left[\frac{1 - w}{(1 + w)(1 + w^2)}(x^2 - y^2) \pm \frac{2}{(1 + w)^2}xy \right] \right\}.\end{aligned}$$

Proof. We will use the following notations for the extreme points of $B_{\mathcal{P}(^2d_*(1, w)^2)}$:

$$P_t(x, y) = t(x^2 - y^2) + 2\sqrt{t(1-t)}xy \quad \left(\frac{1}{1+w^2} \leq t \leq 1 \right),$$

$$\tilde{P}_t(x, y) = t(x^2 - y^2) - 2\sqrt{t(1-t)}xy \quad \left(\frac{1}{1+w^2} \leq t \leq 1 \right),$$

$$Q_s(x, y) = s(x^2 - y^2) + \frac{2+2\sqrt{1-s^2}(1+w)^4}{(1+w)^2}xy \quad \left(0 \leq s \leq \frac{1-w}{(1+w)(1+w^2)} \right),$$

$$\tilde{Q}_s(x, y) = s(x^2 - y^2) - \frac{2 + 2\sqrt{1 - s^2(1+w)^4}}{(1+w)^2}xy \quad \left(0 \leq s \leq \frac{1-w}{(1+w)(1+w^2)}\right),$$

$$R_1(x, y) = x^2,$$

$$R_2(x, y) = y^2,$$

$$R_3(x, y) = \frac{1}{1+w^2}(x^2 + y^2),$$

$$R_4(x, y) = \frac{1}{(1+w)^2}(x+y)^2,$$

$$R_5(x, y) = \frac{1}{(1+w)^2}(x-y)^2.$$

First, we will show that $R_1(x, y) \in \exp B_{\mathcal{P}(2d_*(1, w)^2)}$. Indeed, let $f \in \mathcal{P}(2d_*(1, w)^2)^*$ be such that $f(x^2) = 1$, $f(y^2) = \frac{w^2}{2}$, $f(xy) = 0$. By Theorem 3, $\|f\| = 1$. We will show that f exposes R_1 . Suppose that $Q(x, y) = ax^2 + by^2 + cxy \in \mathcal{P}(2d_*(1, w)^2)$ such that $\|Q\| = 1 = f(Q)$. Then, $a + \frac{bw^2}{2} = 1$. Since $\|(1, \frac{w \operatorname{sign}(c)}{\sqrt{2}})\|_{d_*} = 1$ and $\|Q\| = 1$, then $1 + \frac{w|c|}{\sqrt{2}} = Q(1, \frac{w \operatorname{sign}(c)}{\sqrt{2}}) \leq 1$, from which we have $c = 0$. For $0 < t < w$, $\|(1, t)\|_{d_*} = 1$ and $a + bt^2 = Q(1, t) \leq 1 = a + \frac{bw^2}{2}$. As $t \rightarrow 0$, $b \geq 0$. As $t \rightarrow w$, $b \leq 0$. Therefore, $b = 0$ and $a = 1$. So $Q(x, y) = R_1(x, y)$. Similarly, $-R_1(x, y)$, $\pm R_2(x, y) \in \exp B_{\mathcal{P}(2d_*(1, w)^2)}$. The latter shows also that $\pm X^2$ and $\pm Y^2$ are exposed polynomials in $B_{\mathcal{P}(2d_*(1, w)^2)}$. Since $\frac{1}{(1+w)^2}(x+y)^2 = \Phi^{-1}(X^2)$ and $\frac{1}{(1+w)^2}(x-y)^2 = \Phi^{-1}(Y^2)$, by Lemma 5, $\pm R_4(x, y), \pm R_5(x, y) \in \exp B_{\mathcal{P}(2d_*(1, w)^2)}$.

We claim that $P_1(x, y) = x^2 - y^2 \in \exp B_{\mathcal{P}(2d_*(1, w)^2)}$. Indeed, $\|P_1\| = 1$ by Theorem 1 and let $f \in \mathcal{P}(2d_*(1, w)^2)^*$ be such that $f(x^2) = \frac{1}{2}$, $f(y^2) = -\frac{1}{2}$, $f(xy) = 0$. We will show that f exposes P_1 . Suppose that $Q(x, y) = ax^2 + by^2 + cxy \in \mathcal{P}(2d_*(1, w)^2)$ such that $\|Q\| = 1 = f(Q)$. Since $1 = f(Q) = \frac{1}{2}(a-b)$ and $|a| \leq 1$, $|b| \leq 1$, we have $a = 1$, $b = -1$. We claim that $c = 0$. Let $S(x, y) = x^2 - y^2 + |c|xy$. Since $\|S\| = 1$ and $\|1, tw\|_{d_*} = 1$ for every $0 < t \leq w$, $1 - t^2w^2 + |c|tw = S(1, tw) \leq 1$ for every $0 < t \leq w$. As $t \rightarrow 0$, $c = 0$. So $Q(x, y) = P_1(x, y)$. Similarly, $-P_1(x, y) \in \exp B_{\mathcal{P}(2d_*(1, w)^2)}$. Since $\frac{4}{(1+w)^2}xy = \Phi^{-1}(X^2 - Y^2)$, by Lemma 5, $\pm \frac{4}{(1+w)^2}xy = \pm Q_0(x, y) \in \exp B_{\mathcal{P}(2d_*(1, w)^2)}$. Next, we will show that $R_3(x, y) \in \exp B_{\mathcal{P}(2d_*(1, w)^2)}$. Let $f \in \mathcal{P}(2d_*(1, w)^2)^*$ be such that $f(x^2) = \frac{1+w^2}{2} = f(y^2)$, $f(xy) = 0$. Obviously, $f(R_3) = 1$ and by Theorem 3, $\|f\| = 1$. We will show that f exposes R_3 . Suppose that $Q(x, y) = ax^2 + by^2 + cxy \in \mathcal{P}(2d_*(1, w)^2)$ is such that $\|Q\| = 1 = f(Q)$. Since $1 = f(Q)$, $a + b = \frac{2}{1+w^2}$. Since $1 < \frac{2}{1+w^2} < 2$ and $|a| = |Q(1, 0)| \leq 1$, $|b| = |Q(0, 1)| \leq 1$, we have $a > 0$, $b > 0$. First, suppose that $a \geq b > 0$. Put $a = \frac{1}{1+w^2} + t$, $b = \frac{1}{1+w^2} - t$ for $0 \leq t < \frac{1}{1+w^2}$. We claim that $t = 0 = c$. It follows that

$$1 \geq |Q(1, \operatorname{sign}(c)w)| = 1 + t(1 - w^2) + |c|w,$$

which shows that $t = 0 = c$. So $Q(x, y) = R_3(x, y)$. Suppose that $0 < a \leq b$. Let $a = \frac{1}{1+w^2} - l$, $b = \frac{1}{1+w^2} + l$ for $0 \leq l < \frac{1}{1+w^2}$. We also claim that $l = 0 = c$. It follows that

$$1 \geq |Q(\text{sign}(c)w, 1)| = 1 + l(1 - w^2) + |c|w,$$

which shows that $l = 0 = c$. So $Q(x, y) = R_3(x, y)$. Similarly, $-R_3(x, y) \in \exp B_{\mathcal{P}(2d_*(1, w)^2)}$.

Claim. $P_{\frac{1}{1+w^2}}(x, y) = \frac{1}{1+w^2}(x^2 - y^2 + 2wxy) \notin \exp B_{\mathcal{P}(2d_*(1, w)^2)}$.

Let $f \in \mathcal{P}(2d_*(1, w)^2)^*$ be such that $1 = \|f\| = f(P_{\frac{1}{1+w^2}})$. Then, $(\alpha - \beta) + 2w\gamma = 1 + w^2$. By Theorem 1, $|\gamma| = |f(xy)| \leq \|f\| \|xy\| = \frac{(1+w)^2}{4}$. We claim that $0 \leq \alpha - \beta$. If $\alpha - \beta < 0$, then $\frac{1+w^2}{2w} < \gamma \leq \frac{(1+w)^2}{4}$, which is a contradiction. Since

$$\alpha - \beta = f(x^2 - y^2) \leq \|f\| = 1 = (\alpha - \beta) + 2w\gamma - w^2,$$

$\gamma \geq \frac{w}{2}$. Notice that $\frac{1}{1+w^2} \leq \frac{1}{2} + \frac{\alpha-\beta}{2\sqrt{(\alpha-\beta)^2+4\gamma^2}}$ or $\frac{\alpha-\beta}{(1+w)^2\sqrt{(\alpha-\beta)^2+4\gamma^2}} \leq \frac{1-w}{(1+w)(1+w^2)}$. First, suppose that $\frac{1}{1+w^2} \leq \frac{1}{2} + \frac{\alpha-\beta}{2\sqrt{(\alpha-\beta)^2+4\gamma^2}}$. Then, $\frac{1}{1+w^2} \leq \frac{1}{2} + \frac{\alpha-\beta}{2\sqrt{(\alpha-\beta)^2+4\gamma^2}} \leq 1$. Define

$$g(x) = (\alpha - \beta)x + 2\sqrt{x(1-x)}\gamma \quad \left(\frac{1}{1+w^2} \leq x \leq 1 \right).$$

It follows that, by Theorem 3,

$$1 = \|f\| \geq g\left(\frac{1}{1+w^2} + \frac{\alpha-\beta}{2\sqrt{(\alpha-\beta)^2+4\gamma^2}}\right) = \frac{(\alpha-\beta) + \sqrt{(\alpha-\beta)^2+4\gamma^2}}{2},$$

which implies that, because of $\alpha - \beta = 1 + w^2 - 2w\gamma$,

$$2 \geq \alpha - \beta + \sqrt{(\alpha - \beta)^2 + 4\gamma^2} = 1 + w^2 - 2w\gamma + \sqrt{(1 + w^2 - 2w\gamma)^2 + 4\gamma^2},$$

which is equivalent to the inequality $1 - w^2 + 2w\gamma \geq \sqrt{(1 + w^2 - 2w\gamma)^2 + 4\gamma^2}$, which reduces to the inequality $(\gamma - w)^2 \leq 0$, which implies that $\gamma = w$ and $\alpha - \beta = 1 - w^2$. Then, $1 = f(Q_{\frac{1-w}{(1+w)(1+w^2)}})$, which shows that f cannot expose $P_{\frac{1}{1+w^2}}$. Since f is arbitrary, we complete the proof of the claim if $\frac{1}{1+w^2} \leq \frac{1}{2} + \frac{\alpha-\beta}{2\sqrt{(\alpha-\beta)^2+4\gamma^2}}$. Suppose that $\frac{\alpha-\beta}{(1+w)^2\sqrt{(\alpha-\beta)^2+4\gamma^2}} \leq \frac{1-w}{(1+w)(1+w^2)}$. Define

$$l(x) = (\alpha - \beta)x + \frac{2 + 2\sqrt{1 - (1+w)^4x^2}}{(1+w)^2}\gamma \quad \left(0 \leq x \leq \frac{1-w}{(1+w)(1+w^2)} \right).$$

It follows that, by Theorem 3,

$$1 = \|f\| \geq l\left(\frac{\alpha-\beta}{(1+w)^2\sqrt{(\alpha-\beta)^2+4\gamma^2}}\right) = \frac{\sqrt{(\alpha-\beta)^2+4\gamma^2} + 2\gamma}{(1+w)^2},$$

which implies that, because of $\alpha - \beta = 1 + w^2 - 2w\gamma$,

$$(1+w)^2 - 2\gamma \geq \sqrt{(\alpha-\beta)^2+4\gamma^2} = \sqrt{(1+w^2-2w\gamma)^2+4\gamma^2},$$

which is equivalent to the inequality $(w^2 + 2w + 1 - 2\gamma)^2 - (w^2 + 1 - 2w\gamma)^2 \geq 4\gamma^2$, which reduces to the inequality $(\gamma - w)(w^2\gamma + w^2 + w + 1) = w^2\gamma^2 + (-w^3 + w^2 + w + 1)\gamma - w(w^2 + w + 1) \leq 0$, which implies that, since $w^2\gamma + w^2 + w + 1 >$

0, we have $\gamma \leq w$. Note that the inequality $\frac{1}{1+w^2} \leq \frac{1}{2} + \frac{\alpha-\beta}{2\sqrt{(\alpha-\beta)^2+4\gamma^2}}$ is equivalent to the inequality $(\alpha-\beta)^2+4\gamma^2 \leq (\frac{1+w^2}{1-w^2})^2(\alpha-\beta)^2$, which is also equivalent to the inequality $[w(1+w^2)+(1-3w^2)\gamma][-w(1+w^2)+(1+w^2)\gamma] \leq 0$. Observe that $w(1+w^2)+(1-3w^2)\gamma > 0$ and $-w(1+w^2)+(1+w^2)\gamma \leq 0$ because $\gamma \leq w$. Hence, we have $\frac{1}{1+w^2} \leq \frac{1}{2} + \frac{\alpha-\beta}{2\sqrt{(\alpha-\beta)^2+4\gamma^2}}$.

The above argument of the case of $\frac{1}{1+w^2} \leq \frac{1}{2} + \frac{\alpha-\beta}{2\sqrt{(\alpha-\beta)^2+4\gamma^2}}$ shows that f cannot expose $P_{\frac{1}{1+w^2}}$. Since f is arbitrary, we complete the proof of the claim if $\frac{\alpha-\beta}{(1+w)^2\sqrt{(\alpha-\beta)^2+4\gamma^2}} \leq \frac{1-w}{(1+w)(1+w^2)}$.

Claim. $\tilde{P}_{\frac{1}{1+w^2}}(x, y) \notin \exp B_{\mathcal{P}(2d_*(1, w)^2)}$.

Otherwise, there exists an $f \in \mathcal{P}(2d_*(1, w)^2)^*$ with $1 = \|f\|$ which exposes $\tilde{P}_{\frac{1}{1+w^2}}$. Let $\alpha = f(x^2), \beta = f(y^2), \gamma = f(xy)$. Then, $f(P_{\frac{1}{1+w^2}}) < 1$. Let $g \in \mathcal{P}(2d_*(1, w)^2)^*$ be such that $g(x^2) = \alpha, g(y^2) = \beta, g(xy) = -\gamma$. Then, $g(P_{\frac{1}{1+w^2}}) = 1$. By Theorem 3, $\|g\| = 1$. Note that g exposes $P_{\frac{1}{1+w^2}}$. Indeed, let $Q(x, y) = ax^2 + by^2 + cxy \in \mathcal{P}(2d_*(1, w)^2)$ be such that $\|Q\| = 1 = g(Q)$. Let $\tilde{Q}(x, y) = Q(x, -y) = ax^2 + by^2 - cxy$. By Theorem 1, $\|\tilde{Q}\| = 1$ and $1 = g(Q) = f(\tilde{Q})$. Hence, $\tilde{Q} = \tilde{P}_{\frac{1}{1+w^2}}$, which implies $Q = P_{\frac{1}{1+w^2}}$. Therefore, g exposes $P_{\frac{1}{1+w^2}}$, which is a contradiction because $P_{\frac{1}{1+w^2}} \notin \exp B_{\mathcal{P}(2d_*(1, w)^2)}$. Similarly, $-\tilde{P}_{\frac{1}{1+w^2}}(x, y) \notin \exp B_{\mathcal{P}(2d_*(1, w)^2)}$. Since $\frac{1-w}{(1+w)(1+w^2)}(x^2 - y^2) \pm \frac{2}{(1+w)^2}xy = \Phi^{-1}(\frac{1}{(1+w^*)^2}(X^2 - Y^2 \pm 2w^*XY))$, by Lemma 5, $\pm Q_{\frac{1-w}{(1+w)(1+w^2)}}(x, y), \pm \tilde{Q}_{\frac{1-w}{(1+w)(1+w^2)}}(x, y) \notin \exp B_{\mathcal{P}(2d_*(1, w)^2)}$.

Claim. $P_t(x, y) \in \exp B_{\mathcal{P}(2d_*(1, w)^2)}$ for $\frac{1}{1+w^2} < t < 1$.

Let $\frac{1}{1+w^2} < t < 1$ be fixed. Let $f_t \in \mathcal{P}(2d_*(1, w)^2)^*$ be such that $f_t(x^2) = 1 - \frac{1}{2t}, f_t(y^2) = -1 + \frac{1}{2t}$ and $f_t(xy) = \sqrt{\frac{1-t}{t}}$. Note that $|f_t(R_k)| < 1$ for $1 \leq k \leq 5$. We also have $f_t(P_t) = 1$ and $-1 < f_t(\tilde{P}_l) < f_t(P_l) = l(2 - \frac{1}{t}) + 2\sqrt{l(1-l)}\sqrt{\frac{1-t}{t}} < 1$ for $l \neq t, \frac{1}{1+w^2} \leq l \leq 1$. Hence,

$$\begin{aligned} (*) \quad & |f_t(R_k)| < 1, |f_t(P_l)| < 1, |f_t(\tilde{P}_t)| < 1, |f_t(\tilde{P}_l)| < 1 \text{ for } 1 \leq k \leq 5, \\ & l \neq t, \frac{1}{1+w^2} \leq l \leq 1. \end{aligned}$$

Define

$$\begin{aligned} h(s) &:= f_t(Q_s) \\ &= \left(2 - \frac{1}{t}\right)s + \frac{2+2\sqrt{1-(1+w)^4s^2}}{(1+w)^2}\sqrt{\frac{1-t}{t}} \left(0 \leq s \leq \frac{1-w}{(1+w)(1+w^2)}\right). \end{aligned}$$

Then, since the only root of $h'(s) = 0$ in $[0, \frac{1-w}{(1+w)(1+w^2)}]$ is $\frac{2t-1}{(1+w)^2}$ and $h''(\frac{2t-1}{(1+w)^2}) = 0$, we have

$$\sup_{0 \leq s \leq \frac{1-w}{(1+w)(1+w^2)}} h(s) = h\left(\frac{2t-1}{(1+w)^2}\right) = \frac{1+2\sqrt{t(1-t)}}{(1+w)^2t} < 1.$$

Hence, $-1 < f_t(\tilde{Q}_s) < f_t(Q_s) < 1$ for $0 \leq s \leq \frac{1-w}{(1+w)(1+w^2)}$. Hence,

$$(**) \quad |f_t(Q_s)| < 1 \quad \text{and} \quad |f_t(\tilde{Q}_s)| < 1 \quad \text{for } 0 \leq s \leq \frac{1-w}{(1+w)(1+w^2)}.$$

By Theorem 3, $\|f_t\| = 1$. We will show that f_t exposes P_t . Let $Q(x, y) = ax^2 + by^2 + cxy \in \mathcal{P}^2 d_*(1, w^2)$ such that $1 = \|Q\| = f_t(Q)$. We will show that $Q = P_t$. Since $\mathcal{P}^2 d_*(1, w^*)^2$ is a finite dimensional Banach space with dimension 3, by the Krein–Milman theorem, $B_{\mathcal{P}^2 d_*(1, w^*)^2}$ is the closed convex hull of $\text{ext} B_{\mathcal{P}^2 d_*(1, w^*)^2}$. Then,

$$\begin{aligned} Q(x, y) &= \sum_{1 \leq k \leq 5} \lambda_k R_k(x, y) + \sum_{j=1}^{\infty} \beta_j Q_{s_j}(x, y) + \sum_{n=1}^{\infty} \gamma_n \tilde{Q}_{s'_n}(x, y) \\ &\quad + \sum_{m=1}^{\infty} \delta_m P_{t_m}(x, y) + \sum_{l=1}^{\infty} \epsilon_l \tilde{P}_{t'_l}(x, y) \end{aligned}$$

for some $\lambda_k, \beta_j, \gamma_n, \delta_m, \epsilon_l \in \mathbb{R}$ with

$$(***) \quad \sum_{1 \leq k \leq 5} |\lambda_k| + \sum_{j=1}^{\infty} |\beta_j| + \sum_{n=1}^{\infty} |\gamma_n| + \sum_{m=1}^{\infty} |\delta_m| + \sum_{l=1}^{\infty} |\epsilon_l| \leq 1$$

and some $0 \leq s_j, s'_n \leq \frac{1-w}{(1+w)(1+w^2)}$ and $\frac{1}{1+w^2} \leq t_m, t'_l \leq 1$ for every $j, n, m, l \in \mathbb{N}$.

Claim. $\lambda_k = \beta_j = \gamma_n = 0$, for every $1 \leq k \leq 5, j, n \in \mathbb{N}$.

Assume that $\lambda_{k_0} \neq 0$ for some $1 \leq k_0 \leq 5$. It follows that

$$\begin{aligned} 1 = f_t(Q) &= \sum_{1 \leq k \leq 5} \lambda_k f_t(R_k) + \sum_{j=1}^{\infty} \beta_j f_t(Q_{s_j}) + \sum_{n=1}^{\infty} \gamma_n f_t(\tilde{Q}_{s'_n}) \\ &\quad + \sum_{m=1}^{\infty} \delta_m f_t(P_{t_m}) + \sum_{l=1}^{\infty} \epsilon_l f_t(\tilde{P}_{t'_l}) \\ &\leq |\lambda_{k_0}| |f_t(R_{k_0})| + \sum_{1 \leq k \neq k_0 \leq 5} |\lambda_k| |f_t(R_k)| + \sum_{j=1}^{\infty} |\beta_j| |f_t(Q_{s_j})| + \sum_{n=1}^{\infty} |\gamma_n| |f_t(\tilde{Q}_{s'_n})| \\ &\quad + \sum_{m=1}^{\infty} |\delta_m| |f_t(P_{t_m})| + \sum_{l=1}^{\infty} |\epsilon_l| |f_t(\tilde{P}_{t'_l})| \\ &< |\lambda_{k_0}| + \sum_{1 \leq k \neq k_0 \leq 5} |\lambda_k| |f_t(R_k)| + \sum_{j=1}^{\infty} |\beta_j| |f_t(Q_{s_j})| + \sum_{n=1}^{\infty} |\gamma_n| |f_t(\tilde{Q}_{s'_n})| \\ &\quad + \sum_{m=1}^{\infty} |\delta_m| |f_t(P_{t_m})| + \sum_{l=1}^{\infty} |\epsilon_l| |f_t(\tilde{P}_{t'_l})| \quad (\text{by } *) \end{aligned}$$

$$\begin{aligned} &\leq |\lambda_{k_0}| + \sum_{1 \leq k \neq k_0 \leq 5} |\lambda_k| + \sum_{j=1}^{\infty} |\beta_j| + \sum_{n=1}^{\infty} |\gamma_n| + \sum_{m=1}^{\infty} |\delta_m| + \sum_{l=1}^{\infty} |\epsilon_l| \text{ (by (*))} \\ &\leq 1 \text{ (by (**))}, \end{aligned}$$

which is impossible. Therefore, $\lambda_k = 0$, for every $1 \leq k \leq 5$.

Assume that $\beta_{j_0} \neq 0$ for some $j_0 \in \mathbb{N}$. Using a similar argument as above, we have

$$\begin{aligned} 1 &= f_t(Q) = \sum_{j=1}^{\infty} \beta_j f_t(Q_{s_j}) + \sum_{n=1}^{\infty} \gamma_n f_t(\tilde{Q}_{s'_n}) \\ &\quad + \sum_{m=1}^{\infty} \delta_m f_t(P_{t_m}) + \sum_{l=1}^{\infty} \epsilon_l f_t(\tilde{P}_{t'_l}) \\ &\leq |\beta_{j_0}| |f_t(Q_{s_{j_0}})| + \sum_{j \neq j_0, j=1}^{\infty} |\beta_j| |f_t(Q_{s_j})| + \sum_{n=1}^{\infty} |\gamma_n| |f_t(\tilde{Q}_{s'_n})| \\ &\quad + \sum_{m=1}^{\infty} |\delta_m| |f_t(P_{t_m})| + \sum_{l=1}^{\infty} |\epsilon_l| |f_t(\tilde{P}_{t'_l})| \\ &< |\beta_{j_0}| + \sum_{j \neq j_0, j=1}^{\infty} |\beta_j| |f_t(Q_{s_j})| + \sum_{n=1}^{\infty} |\gamma_n| |f_t(\tilde{Q}_{s'_n})| \\ &\quad + \sum_{m=1}^{\infty} |\delta_m| |f_t(P_{t_m})| + \sum_{l=1}^{\infty} |\epsilon_l| |f_t(\tilde{P}_{t'_l})| \text{ (by (**))} \\ &\leq \sum_{j=1}^{\infty} |\beta_j| + \sum_{n=1}^{\infty} |\gamma_n| + \sum_{m=1}^{\infty} |\delta_m| + \sum_{l=1}^{\infty} |\epsilon_l| \\ &\leq 1, \end{aligned}$$

which is impossible. Therefore, $\beta_j = 0$, for every $j \in \mathbb{N}$. Using a similar argument as above, we have $\gamma_n = 0$, for every $n \in \mathbb{N}$. Therefore,

$$Q(x, y) = \sum_{m=1}^{\infty} \delta_m P_{t_m}(x, y) + \sum_{l=1}^{\infty} \epsilon_l \tilde{P}_{t'_l}(x, y).$$

We claim that for every $l \in \mathbb{N}$, then $\epsilon_l = 0$. Assume that $\epsilon_{l_0} \neq 0$ for some $l_0 \in \mathbb{N}$. Then,

$$\begin{aligned} 1 &= f_t(Q) = \sum_{m=1}^{\infty} \delta_m f_t(P_{t_m}) + \sum_{l=1}^{\infty} \epsilon_l f_t(\tilde{P}_{t'_l}) \\ &\leq \sum_{m=1}^{\infty} |\delta_m| |f_t(P_{t_m})| + |\epsilon_{l_0}| |f_t(\tilde{P}_{t'_{l_0}})| + \sum_{l \neq l_0, l=1}^{\infty} |\epsilon_l| |f_t(\tilde{P}_{t'_l})| \\ &< \sum_{m=1}^{\infty} |\delta_m| |f_t(P_{t_m})| + |\epsilon_{l_0}| + \sum_{l \neq l_0, l=1}^{\infty} |\epsilon_l| |f_t(\tilde{P}_{t'_l})| \text{ (by (*)} \end{aligned}$$

$$\begin{aligned} &\leq \sum_{m=1}^{\infty} |\delta_m| + \sum_{l=1}^{\infty} |\epsilon_l| \\ &\leq 1, \end{aligned}$$

which is impossible. Therefore, $\epsilon_l = 0$ for every $l \in \mathbb{N}$. So

$$Q(x, y) = \sum_{m=1}^{\infty} \delta_m P_{t_m}(x, y).$$

We will show that if $t_m \neq t$ for some $m \in \mathbb{N}$, then $\delta_m = 0$. Suppose that $t_{m_0} \neq t$ for some $m_0 \in \mathbb{N}$. Assume that $\delta_{m_0} \neq 0$.

$$\begin{aligned} 1 &= f_t(Q) = \sum_{m=1}^{\infty} \delta_m f_t(P_{t_m}) \\ &\leq |\delta_{m_0}| |f_t(P_{t_{m_0}})| + \sum_{m \neq m_0, m=1}^{\infty} |\delta_m| |f_t(P_{t_m})| \\ &< |\delta_{m_0}| + \sum_{m \neq m_0, m=1}^{\infty} |\delta_m| |f_t(P_{t_m})| + (\text{by } (*)) \\ &\leq \sum_{m=1}^{\infty} |\delta_m| \\ &\leq 1, \end{aligned}$$

which is impossible. Hence, $\delta_{m_0} = 0$. Therefore,

$$Q(x, y) = \left(\sum_{m=1}^{\infty} \delta_m \right) P_t(x, y) = P_t(x, y),$$

from which $P_t(x, y) \in \exp B_{\mathcal{P}(2d_*(1, w)^2)}$ for $\frac{1}{1+w^2} < t < 1$. Similarly, $\pm \tilde{P}_t(x, y) \in \exp B_{\mathcal{P}(2d_*(1, w)^2)}$ for $\frac{1}{1+w^2} < t < 1$.

Claim. $Q_s(x, y) \in \exp B_{\mathcal{P}(2d_*(1, w)^2)}$ for $0 < s < \frac{1-w}{(1+w)(1+w^2)}$.

By Lemma 5, it is enough to show that $\Phi(Q_s) \in \exp B_{\mathcal{P}(2d_*(1, w^*)^2)}$. It follows that

$$\begin{aligned} \Phi(Q_s)(X, Y) &= Q_s \circ \phi^{-1}(X, Y) \\ &= \left(s(x^2 - y^2) + \frac{2 + 2\sqrt{1 - s^2(1+w)^4}}{(1+w)^2} xy \right) \circ \phi^{-1}(X, Y) \\ &= s \left(\left(\frac{1+w}{2} \right)^2 (X+Y)^2 - \left(\frac{1+w}{2} \right)^2 (X-Y)^2 \right) \\ &\quad + \frac{2 + 2\sqrt{1 - s^2(1+w)^4}}{(1+w)^2} \left(\frac{1+w}{2} \right)^2 (X^2 - Y^2) \\ &= \frac{1 + \sqrt{1 - s^2(1+w)^4}}{2} (X^2 - Y^2) + (1+w)^2 sXY. \end{aligned}$$

Let $t = \frac{1+\sqrt{1-s^2(1+w)^4}}{2}$. Then, $\frac{1}{1+(w^*)^2} < t < 1$ and $\Phi(Q_s)(X, Y) = P_t(X, Y) \in \exp B_{\mathcal{P}(2d_*(1, w^*)^2)}$. Similarly, $-Q_s(x, y) \in \exp B_{\mathcal{P}(2d_*(1, w)^2)}$ for $0 < s < \frac{1-w}{(1+w)(1+w^2)}$.

Claim. $\tilde{Q}_s(x, y) \in \exp B_{\mathcal{P}(2d_*(1, w)^2)}$ for $0 < s < \frac{1-w}{(1+w)(1+w^2)}$.

Since $Q_s(x, y) \in \exp B_{\mathcal{P}(2d_*(1, w)^2)}$, there exists an $f \in \mathcal{P}(2d_*(1, w)^2)^*$ with $1 = \|f\|$ which exposes Q_s . Let $\alpha = f(x^2), \beta = f(y^2), \gamma = f(xy)$. Let $g \in \mathcal{P}(2d_*(1, w)^2)^*$ be such that $g(x^2) = \alpha, g(y^2) = \beta, g(xy) = -\gamma$. Then, $g(\tilde{Q}_s) = 1$. By Theorem 3, $\|g\| = 1$. Note that g exposes \tilde{Q}_s . Indeed, let $Q(x, y) = ax^2 + by^2 + cxy \in \mathcal{P}(2d_*(1, w)^2)$ be such that $\|Q\| = 1 = g(Q)$. Let $\tilde{Q}(x, y) = Q(x, -y) = ax^2 + by^2 - cxy$. By Theorem 1, $\|\tilde{Q}\| = 1$ and $1 = g(Q) = f(\tilde{Q})$. Hence, $\tilde{Q} = \tilde{Q}_s$, which shows that g exposes \tilde{Q}_s . Similarly, $-\tilde{Q}_s(x, y) \in \exp B_{\mathcal{P}(2d_*(1, w)^2)}$ for $0 < s < \frac{1-w}{(1+w)(1+w^2)}$. Therefore, we complete the proof of Theorem 6. \square

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